

Deliberative control is more than just reactive: Insights from sequential sampling models

TARGET ARTICLE: Advancing Theorizing About Fast-And-Slow Thinking - Wim De Neys

WORD COUNTS: Abstract: 60, Main text: 996, References: 652, Entire text: 1931

TITLE: Deliberative control is more than just reactive: Insights from sequential sampling models

AUTHORS: Hyuna Cho<sup>1</sup>, Yi Yang Teoh<sup>1</sup>, William A. Cunningham<sup>1</sup>, Cendri A. Hutcherson<sup>2,3</sup>

#### INSTITUTIONS

<sup>1</sup>Department of Psychology, University of Toronto, Toronto, ON M5S 1A1, Canada

<sup>2</sup>Department of Psychology, University of Toronto Scarborough, Scarborough, ON M1C 1A4, Canada

<sup>3</sup>Department of Marketing, Rotman School of Management, University of Toronto, Toronto, ON M5S 3E6, Canada

#### CONTACT INFORMATION

Hyuna Cho: [hyuna.cho@mail.utoronto.ca](mailto:hyuna.cho@mail.utoronto.ca)

Yi Yang Teoh: [yang.teoh@mail.utoronto.ca](mailto:yang.teoh@mail.utoronto.ca), <https://orcid.org/0000-0001-8995-414X>

William A. Cunningham: [wil.cunningham@utoronto.ca](mailto:wil.cunningham@utoronto.ca), 416-978-8810

Cendri A. Hutcherson: [c.hutcherson@utoronto.ca](mailto:c.hutcherson@utoronto.ca), 416-287-7447, <https://torontodecisionneurolab.com>

#### ABSTRACT

Activating relevant responses is a key function of automatic processes in De Neys' model; however, what determines the order or magnitude of such activation is ambiguous. Focusing on recently-developed sequential sampling models of choice, we argue that proactive control shapes response generation but does not cleanly fit into De Neys' automatic-deliberative distinction, highlighting the need for further model development.

#### MAIN TEXT

We applaud De Neys' work to define a set of domain-agnostic organizing principles that better clarify discussion on dual process theories. This reformulation makes a welcome contribution to the field by proposing that (1) fast and intuitive response generation can activate multiple competing responses, leading to choice uncertainty, and (2) that this uncertainty drives subsequent activation of control-related deliberation. However, critical properties of these processes remain ambiguous in the current framework. Specifically, given the central role of fast and intuitive response generation processes, it is imperative to better specify how response options are generated, what determines their relative strength and time-course, and how these intuitions are compared to select a response. In this commentary, we draw on insights from the sequential sampling modeling literature to argue that even initial response generation and evaluation may not be exclusively driven by fast, automatic, and intuitive associative recall, but also

This is an Author Accepted Manuscript version of the following article: Cho, H., Teoh, Y. Y., Cunningham, W. A., & Hutcherson, C. A. (2023). Deliberative control is more than just reactive: Insights from sequential sampling models. *Behavioral and Brain Sciences*, 46, e116. Cambridge Core. The final authenticated version is available online at: <https://doi.org/10.1017/S0140525X22003120>

## Deliberative control is more than just reactive: Insights from sequential sampling models

modulated by controlled processes that operate rapidly from prior knowledge. In particular, we argue that deliberative control is deployed to prioritize information sampling and attribute evaluation, and thus response generation. We discuss how these forms of proactive control, in contrast to reactive control, pose a challenge to De Neys' current framework.

In De Neys' formulation, intuitive *responses* are the computational units that drive decisions. But these responses are themselves driven by the consideration of different cues or samples of information. Thus, the intuition generation process seems conceptually related to, if not synonymous with, the activation of relevant choice attributes in sequential sampling models. In these models, samples are drawn from noisy distributions of attribute values and accumulated as evidence for response options until the evidence passes a threshold for choice (Ratcliff & McKoon, 2008; Shadlen & Shohamy, 2016). The order in which attributes are considered can strongly influence decisions (Sullivan et al., 2015; Sullivan & Huettel, 2021). The present dual process framework appears to utilize a similar probabilistic sampling process, suggesting that insights from the growing literature on sequential sampling models could prove informative.

Recent work on sequential sampling models demonstrates that people strategically prioritize gathering more valuable information, which can change both the temporal dynamics and strength of response generation. For example, in altruistic choice under time pressure, selfish people prioritize gathering information about their own, rather than others', outcomes (Teoh et al., 2020). This systematically biases visual attention within the first few hundred milliseconds of choice presentation. In De Neys' terms, strategic allocation of attention changes the order of intuitive responses. Furthermore, this rapid reprioritization is context-sensitive: changing the incentives of a social interaction (e.g. dictator vs ultimatum game; Teoh & Hutcherson, 2022) or the framing of a risky gamble (e.g. gain vs loss frame; Roberts et al., 2022) change which information is processed first, in a goal consistent manner. Thus, prior information shapes information search patterns *prior* to information sampling and response generation, appearing to operate *independently* of the uncertainty-triggered control in De Neys' model.

Similarly, evidence suggests that prestimulus control-related signals can also change the order or strength of information recall, proactively shaping the response generation process. For example, time-varying sequential sampling models of food choice demonstrate that instructions to focus on health-related goals-- a presumably deliberative process-- results in faster activation of health-related information (Maier et al., 2020). In addition to changing the temporal dynamics of information retrieval, holding health-related goals increases how much weight people place on health relative to taste in their food choices (Hare et al., 2011; Tusche et al., 2018). This suggests that retrieving and generating response options is not solely automatic. Instead, effortfully-maintained goals can determine which information is most relevant, and can change the order in which response-relevant attributes are considered.

These results from both attention and memory sampling highlight an important distinction between *reactive control*, which are triggered by an event and strongly resembles the uncertainty-triggered deliberation of De Neys' model, and *proactive control*, which refers to regulatory processes that occur

## Deliberative control is more than just reactive: Insights from sequential sampling models

before encountering a stimulus (Braver et al., 2007; Braver, 2012). Importantly, as we have suggested above, our own and others' work suggests that this form of control can modulate when and what intuitions are activated even in the absence of conflict, and can alter the strength or order of information processing *before* rather than *after* intuitions are retrieved.

Better specifying how prestimulus control influences response generation may not only better link the current model to the self-regulation literature, but extend it to more general models of information processing. The Iterative Reprocessing framework (Cunningham et al., 2007) is one such model which allows both stimulus-driven, bottom-up processes to inform goal-based, top-down processes, and vice versa. This echoes findings in attention (Corbetta & Shulman, 2002; Asplund et al., 2010) and memory (Ciaramelli et al., 2008; Burianová et al., 2012) which propose that there are distinct but related top-down and bottom-up processes which mutually inform each other. Under this framework, organizational, top-down processes are *always* informing what is considered most relevant by stimulus-driven processes. This top-down influence could become more effortful or directed with reflective control (Cunningham & Zelazo, 2007), but pre-existing knowledge plays an important causal role in determining the relevance of automatically retrieved information.

As uncertainty-triggered deliberative processes remain to be fully specified in De Neys' model, it is unclear whether proactive control processes should be considered a separate process, or whether it might utilize the same architecture. Either way, considering when and how proactive deliberative processes are activated represents a fruitful area of inquiry. For example, dieters are often highly motivated to engage in healthy eating, yet may fail to spontaneously engage in proactive control (Cosme et al., 2020). While learning can automatize these priorities, as De Neys discusses, the effortful engagement of proactive control is not well incorporated into the current automatic-deliberative division. This case study thus highlights the need for a better articulation of how *both* intuitive and deliberative processes shape the initial response generation process, and points to the benefits of marrying dual process models with the richness of recent computational models of information sampling and choice.

### ACKNOWLEDGEMENTS

H.C. would like to thank the members of the Toronto Decision Neuroscience Lab and the 2020 enrollee cohort of graduate students at the University of Toronto, Department of Psychology for their continued support.

### COMPETING INTEREST STATEMENT

The authors declare no competing interests.

### FUNDING STATEMENT

Funding from the Canada Research Chairs program (to C.H.), the Natural Science and Engineering Research Council (to W.C.), and an Ontario Graduate Scholarship (to Y.T) is gratefully acknowledged. All views expressed in this article represent the views of the authors, and not of the funding bodies.

This is an Author Accepted Manuscript version of the following article: Cho, H., Teoh, Y. Y., Cunningham, W. A., & Hutcherson, C. A. (2023). Deliberative control is more than just reactive: Insights from sequential sampling models. *Behavioral and Brain Sciences*, 46, e116. Cambridge Core. The final authenticated version is available online at: <https://doi.org/10.1017/S0140525X22003120>

## Deliberative control is more than just reactive: Insights from sequential sampling models

### REFERENCES

- Asplund, C. L., Todd, J. J., Snyder, A. P., & Marois, R. (2010). A central role for the lateral prefrontal cortex in goal-directed and stimulus-driven attention. *Nature neuroscience*, *13*(4), 507-512. <https://doi.org/10.1038/nn.2509>
- Braver, T. S. (2012). The variable nature of cognitive control: a dual mechanisms framework. *Trends in cognitive sciences*, *16*(2), 106-113. <https://doi.org/10.1016/j.tics.2011.12.010>
- Braver, T. S., Gray, J. R., & Burgess, G. C. (2007). Explaining the many varieties of working memory variation: Dual mechanisms of cognitive control. *Variation in working memory*, *75*, 106. <http://dx.doi.org/10.1093/acprof:oso/9780195168648.003.0004>
- Burianová, H., Ciaramelli, E., Grady, C. L., & Moscovitch, M. (2012). Top-down and bottom-up attention-to-memory: mapping functional connectivity in two distinct networks that underlie cued and uncued recognition memory. *Neuroimage*, *63*(3), 1343-1352. <https://doi.org/10.1016/j.neuroimage.2012.07.057>
- Ciaramelli, E., Grady, C. L., & Moscovitch, M. (2008). Top-down and bottom-up attention to memory: a hypothesis (AtoM) on the role of the posterior parietal cortex in memory retrieval. *Neuropsychologia*, *46*(7), 1828-1851. <https://doi.org/10.1016/j.neuropsychologia.2008.03.022>
- Corbetta, M., & Shulman, G. L. (2002). Control of goal-directed and stimulus-driven attention in the brain. *Nature reviews neuroscience*, *3*(3), 201-215. <https://doi.org/10.1038/nrn755>
- Cosme, D., Zeithamova, D., Stice, E., & Berkman, E. T. (2020). Multivariate neural signatures for health neuroscience: assessing spontaneous regulation during food choice. *Social Cognitive and Affective Neuroscience*, *15*(10), 1120-1134. <https://doi.org/10.1093/scan/nsaa002>
- Cunningham, W. A., & Zelazo, P. D. (2007). Attitudes and evaluations: A social cognitive neuroscience perspective. *Trends in cognitive sciences*, *11*(3), 97-104. <https://doi.org/10.1016/j.tics.2006.12.005>
- Cunningham, W. A., Zelazo, P. D., Packer, D. J., & Van Bavel, J. J. (2007). The iterative reprocessing model: A multilevel framework for attitudes and evaluation. *Social Cognition*, *25*(5), 736-760. <http://dx.doi.org/10.1521/soco.2007.25.5.736>
- Hare, T. A., Malmaud, J., & Rangel, A. (2011). Focusing attention on the health aspects of foods changes value signals in vmPFC and improves dietary choice. *Journal of neuroscience*, *31*(30), 11077-11087. <https://doi.org/10.1523/JNEUROSCI.6383-10.2011>
- Maier, S.U., Raja Beharelle, A., Polanía, R. *et al.* Dissociable mechanisms govern when and how strongly reward attributes affect decisions. *Nat Hum Behav* *4*, 949–963 (2020). <https://doi.org/10.1038/s41562-020-0893-y>
- Ratcliff, R., & McKoon, G. (2008). The diffusion decision model: theory and data for two-choice decision tasks. *Neural computation*, *20*(4), 873-922. <https://doi.org/10.1162/neco.2008.12-06-420>
- Roberts, I. D., Teoh, Y. Y., & Hutcherson, C. A. (2022). Time to Pay Attention? Information Search Explains Amplified Framing Effects Under Time Pressure. *Psychological Science*, *33*(1), 90-104. <https://doi.org/10.1177/09567976211026983>
- Shadlen, M. N., & Shohamy, D. (2016). Decision making and sequential sampling from memory. *Neuron*, *90*(5), 927-939. <https://doi.org/10.1016/j.neuron.2016.04.036>
- Sullivan, N., Hutcherson, C., Harris, A., & Rangel, A. (2015). Dietary self-control is related to the speed with which attributes of healthfulness and tastiness are processed. *Psychological science*, *26*(2), 122-134. <https://doi.org/10.1177/0956797614559543>

This is an Author Accepted Manuscript version of the following article: Cho, H., Teoh, Y. Y., Cunningham, W. A., & Hutcherson, C. A. (2023). Deliberative control is more than just reactive: Insights from sequential sampling models. *Behavioral and Brain Sciences*, *46*, e116. Cambridge Core. The final authenticated version is available online at: <https://doi.org/10.1017/S0140525X22003120>

## Deliberative control is more than just reactive: Insights from sequential sampling models

- Sullivan, N.J., Huettel, S.A. Healthful choices depend on the latency and rate of information accumulation. *Nat Hum Behav* **5**, 1698–1706 (2021). <https://doi.org/10.1038/s41562-021-01154-0>
- Teoh, Y. Y., & Hutcherson, C. A. (2022). The games we play: Prosocial choices under time pressure reflect context-sensitive information priorities. *Psychological Science*, *33*(9), 1541-1556. <https://doi.org/10.1177/0956797622109478>
- Teoh, Y. Y., Yao, Z., Cunningham, W. A., & Hutcherson, C. A. (2020). Attentional priorities drive effects of time pressure on altruistic choice. *Nature communications*, *11*(1), 1-13. <https://doi.org/10.1038/s41467-020-17326-x>
- Tusche, A., & Hutcherson, C. A. (2018). Cognitive regulation alters social and dietary choice by changing attribute representations in domain-general and domain-specific brain circuits. *Elife*, *7*. <https://doi.org/10.7554/eLife.31185>